Facoltà di
SCIENZE BANCARIE, FINANZIARIE E ASSICURATIVE

Modulo
Code of Ethics and Professional Standards.

Docenti
Marina Modè, Maddalena Nocera (CFA Society Italy)

Obiettivo del corso
The objective of the course is to offer students a review of Ethical and International Standards that represent best practices in the financial sector globally, as defined by the CFA Institute "Code of Ethics and Standard of Professional Conduct". Students will be able to analyze and evaluate ethical issues connected with the offer of financial product and services, with the aim of protecting the integrity of financial markets for the ultimate benefit of the society. The analysis of practical cases for the right application of ethical and professional standards will offer students the description of typical financial markets activities and operations, with references to technics related to financial product creation and management.

Programma del corso:
- Ethics and Professional Standards in the financial sector. Reasons for self-discipline and appropriate behaviors, to ensure investors' confidence in the financial sector.
- CFA Institute "Code of Ethics", "Standard of Professional Conduct" and "Standard of Practice Handbook": ethical principles and macro-areas of application.
- Relationship and duties of professional investors towards their customers. Prudence, transparency, adequate basis for recommendations. Practical cases.
- Investment Analysis: objectivity, consistency with customers' investment policy statements. Practical cases.

Bibliografia
- Code of Ethics and Standards of Professional Conduct, CFA Institute, 2014;
- Guidance for Standards I–VII, Standards of Practice Handbook, Eleventh Edition, CFA Institute, 2014;
- Research Objectivity Standards, CFA Institute, 2005;

Didattica del corso
During lessons, the application of ethical standards on real, practical cases will be discussed.

Metodo di valutazione
Team works will be presented, analyzed and commented at the end of the course.

Condizioni di accesso
To be enrolled at a MSc course.

Numero di ore
14 hours (2 CFU).